

<b>DEPARTMENT NAME</b>	Internal Audit
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<b>DEPARTMENT MISSION</b>	
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## PROGRAM

**Line of Business Purpose Statement** The purpose of the Business Integrity and Accountability line of business is to provide performance audit, financial control and compliance, monitoring and special project reports products to Policymakers and Metro departments and agencies so they can make timely, informed decisions to improve operations, controls and processes and be assured that Metro programs are compliant with applicable regulations.

**Program Name** Audit Advisory Consultation

**Program Purpose Statement** The purpose of the Audit Advisory Consultation program is to provide operational evaluation and consultation products to Metro departments and agencies so they can improve their processes.

**Family of Measures: Result Measure(s)** Percentage of departments reporting value added as a result of solutions provided (**Key Result**)

**Family of Measures: Output Measure(s)** Number of implementation consultations  
Number of special project reports issued

*If you have more than one output measure for this program, please list the one output measure that contains your key product for this program.*  
special project reports

**Family of Measures: Demand Measure(s)** Number of implementation consultations anticipated  
Number of special project reports anticipated

**Please list measures, if any, for this program that might be determined via a public survey.**

none

**Products** Implementation Consultations  
Special Project Reports

## PROGRAM

**Line of Business  
Purpose Statement**

The purpose of the Business Integrity and Accountability line of business is to provide performance audit, financial control and compliance, monitoring and special project reports products to Policymakers and Metro departments and agencies so they can make timely, informed decisions to improve operations, controls and processes and be assured that Metro programs are compliant with applicable regulations.

**Program Name**

Financial Control and Compliance

**Program Purpose Statement**

The purpose of the Financial Control and Compliance program is to provide audit reporting products to policymakers and Metro departments and agencies so they can have fairly stated financial statements, improve internal controls and make timely decisions aware of compliance with applicable policy requirements.

**Family of Measures: Result  
Measure(s)**

Percentage of financial and compliance audit recommendations implemented/resolved within planned time frames as agreed with the department (**Key Result**)

**Family of Measures: Output  
Measure(s)**

Number of control/compliance audit reports issued  
Number of investigation reports issued  
Number of conflict of interest reports issued  
Number of externally audited CAFR opinions issued  
Number of management letter implementation reports provided  
Number of audit committee agendas/minutes provided

*If you have more than one output measure for this program, please list the one output measure that contains your key product for this program.*  
control/compliance audit reports

**Family of Measures: Demand Measure(s)**

Number of control/compliance audit reports in annual audit rotation plan  
Number of investigation reports expected to be required  
Number of conflict of interest report expected to be required  
Number of externally audited CAFR opinion expected to be required  
Number of management letter implementation report expected to be required  
Number of audit committee agendas/minutes expected to be required

**Please list measures, if any, for this program that might be determined via a public survey.**

none

**Products**

Control/compliance audit reports  
Investigation reports  
Externally audited CAFR opinions  
Management Letter Implementation Reports  
Conflict of Interest Statements  
Audit Committee Agendas/Minutes  
Implementation monitoring reports

## PROGRAM

**Line of Business Purpose Statement** The purpose of the Business Integrity and Accountability line of business is to provide performance audit, financial control and compliance, monitoring and special project reports products to Policymakers and Metro departments and agencies so they can make timely, informed decisions to improve operations, controls and processes and be assured that Metro programs are compliant with applicable regulations.

**Program Name** Performance Audit

**Program Purpose Statement** The purpose of the Performance Audit program is to provide audit and implementation monitoring products to policymakers and Metro departments and agencies so they can measurably improve their efficiency, operational results, internal controls and effectiveness of operations.

**Family of Measures: Result Measure(s)** Percentage of performance audit recommendations implemented/resolved within planned time frames as agreed with the departments **(Key Result)**

**Family of Measures: Output Measure(s)** Number of departmental performance audit reports completed  
Number of Audit Committee Annual Reports completed  
Number of Implementation monitoring reports completed

*If you have more than one output measure for this program, please list the one output measure that contains your key product for this program.*  
departmental performance audits reports

**Family of Measures: Demand Measure(s)** Number of departments in annual audit rotation plan  
Number of Audit Committee Annual Report expected to be required  
Number of Implementation monitoring reports expected to be required

**Please list measures, if any, for this program that might be determined via a public survey.**  
none

**Products**

Department Performance Audit Reports  
Implementation Monitoring Reports  
Audit Committee Annual Reports