

Mark S. Swann
Metropolitan Auditor



OFFICE OF INTERNAL AUDIT
404 James Robertson Parkway, Suite 190
NASHVILLE, TENNESSEE 37219
615-862-6158

METROPOLITAN GOVERNMENT OF NASHVILLE AND DAVIDSON COUNTY

October 31, 2017

Metropolitan Nashville Audit Committee:

AUDIT OF DAVIDSON COUNTY SHERIFF'S OFFICE INFORMATION SECURITY PRACTICES

The Metropolitan Nashville Office of Internal Audit has completed the performance audit of the Audit of Davidson County Sheriff's Office Information Security Practices. This audit was performed in accordance with the annual audit plan approved by the Metropolitan Nashville Audit Committee. The Office of Internal Audit concluded through the assistance of Berry Dunn McNeil & Parker LLC that the Davidson County Sheriff's Office should improve security controls for 6 high risk and 38 moderate risk security controls. Out of 223 security controls applicable to the Davidson County Sheriff's Office information systems environment, 112 controls are in compliance, 111 controls are either partially compliant or non-compliant with National Institute of Standards and Technology's Security and Privacy Controls for Federal Information Systems and Organizations.

The Davidson County Sheriff's Office Information Technology Services function must adhere to the security requirements placed on them by the Tennessee Bureau of Investigation and the Federal Bureau of Investigation. These requirements included restricting the distribution of information related to the underlying technology infrastructure and supporting system security services.

Due to the sensitive information included in this report, which could detail vulnerabilities, weaknesses, and possible threats to Metropolitan Nashville's information technology services, the distribution of this report was limited to management of the Davidson County Sheriff's Office, Metropolitan Nashville Government's Information Technology Services, and audit committee members with Criminal Justice Information Systems background clearance. This exemption is granted by Tennessee Code Annotated § 10-7-504 (i) (1) "Information that would allow a person to obtain unauthorized access to confidential information or to government property shall be maintained as confidential."

If you have any additional questions, please contact me at (615) 862-6158.

Sincerely,

A handwritten signature in blue ink that reads "Mark S. Swann".

Mark S. Swann