



**A Report to the
Audit Committee**

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David Briley

**ITS Director and Chief
Information Officer**
Keith Durbin

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Metropolitan
Nashville
Office of
Internal Audit

**Follow-Up on the Audit of
Metropolitan Nashville
Government's Radio
Communication Division - Public
(Initial Report Issued November 2, 2015)**

January 24, 2019

Follow-Up on the Audit of Metropolitan Nashville Government's Radio Communication

EXECUTIVE SUMMARY

January 24, 2019



Why We Did This Audit

This is the second follow-up audit of the November 2, 2015, Radio Communication Division audit due to the importance of radio communications to public safety.

What We Recommend

Management of the Radio Communication Division should complete the implementation of the two audit recommendations still in-progress.

BACKGROUND

The Office of Internal Audit conducted an audit of Metropolitan Nashville Government's General Services Department Radio Communication Division in 2015. In July 2016, the Radio Communication Division was moved from the General Services Department to the Information Technology Services Department.

The objectives of the initial audit were to determine if:

- Controls are in place to ensure the radio communication system's daily availability of 99.999 percent.
- Metro assets are tracked and safeguarded.
- Radio Shop cost is accurately billed to users and payments tracked.

The audit report included 41 recommendations for improving the Radio Communications Division that were accepted by management of the General Services Department.

On November 10, 2016, a first follow-up audit report was issued on the status of the recommendations implementation of the 2015 Radio Communication Division audit. In this follow-up audit report, 21 recommendations were fully implemented out of the 41 recommendations issued in the 2015 audit report.

OBJECTIVES AND SCOPE

The objective of this second follow-up audit is to determine if management's action plans for the remaining 20 prior audit report recommendations have been implemented.

The audit scope covers the status of the remaining 20 open recommendations included in the November 2, 2015, Follow-Up Audit of Metropolitan Nashville Government's General Services Department Radio Communication Division.

WHAT WE FOUND

Out of the 20 remaining audit report recommendations, 18 recommendations are implemented. The two open recommendation implementation plans are still in progress.

PRIOR RECOMMENDATIONS AND STATUS

Out of the 41 audit report recommendations, 39 recommendations are implemented. The two open recommendation implementation plans are still in progress.

GOVERNMENT AUDITING STANDARDS COMPLIANCE

We conducted this performance audit in accordance with generally accepted government auditing standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our observations and conclusions based on our audit objectives.

METHODOLOGY

To accomplish our audit objectives, we performed the following steps:

- Interviewed key personnel within the Information Technology Services Department.
- Reviewed and analyzed documentation presented by management as evidence of completion.

AUDIT TEAM

Nan Wen, CPA, In-Charge Auditor

Mark Swann, CPA, CIA, CISA, ACDA, Metropolitan Auditor

APPENDIX A – RECOMMENDATIONS AND IMPLEMENTATION STATUS

Due to the sensitive information included in this report section, which could detail vulnerabilities, weaknesses, and possible threats to Information Technology Services Department public safety radio services, the distribution for this section is limited to management of Information Technology Services Department. This exemption is granted by Tennessee Code Annotated § 10-7-504 (i) (1) "Information that would allow a person to obtain unauthorized access to confidential information or to government property shall be maintained as confidential."